

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Not for submission under 37 CFR 1.99)

Application Number	10072403
Filing Date	2002-02-08
First Named Inventor	RODRIGUES, Klein A.
Art Unit	1751
Examiner Name	DEL COTTO, Gregory R.
Attorney Docket Number	2004.ALC

U.S. PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
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	1	1478979	GB	A	1977-07-06	British Steel		<input type="checkbox"/>

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Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵

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EXAMINER SIGNATURE

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

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CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☒ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

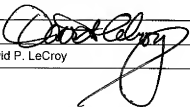
OR

- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☒ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	2007-04-20
Name/Print	David P. LeCroy	Registration Number	37,869

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: RODRIGUES, Klein A.
SERIAL NO.: 10/072 403 GROUP ART UNIT: 1751
FILED: 8 February 2002 EXAMINER: DEL COTTO, Gregory R.
ENTITLED: AMIDE POLYMERS FOR USE IN SURFACE-PROTECTING
FORMULATIONS

CERTIFICATE of MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being transmitted to the United States Patent and Trademark Office via the USPTO web-based EFS on 20 April 2007.

David LeCroy

Commissioner for Patents
Post Office Box 1450
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In compliance with 37 C.F.R. §§ 1.97 and 1.98, and in fulfillment of the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that the references listed on the accompanying form PTO-1449 be made of record and considered with respect to the above referenced United States patent application. A copy of each reference is enclosed.

This Information Disclosure Statement is being filed after a first action on the merits. The references were cited in the Examiner's 1st Report ('AU Report') of the corresponding Australian Patent Application. Pursuant to 37 C.F.R. § 1.97(c) and (e), each item contained herein was cited

in the AU Report not more than three (3) months prior to the filing of this information disclosure statement.

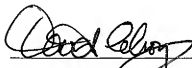
The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information is, or is considered to be, material to patentability, or that the information is analogous to the subject matter of the present invention, or that no other material information exists. Further, the filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. Written notification that the enclosed references have been considered in their entirety by return of a copy of the enclosed PTO-1449 form, completed by the Examiner, is respectfully requested.

Respectfully submitted,

Dated:

20 April 2007

NATIONAL STARCH AND CHEMICAL COMPANY
10 Finderne Avenue
Bridgewater, New Jersey 08807
Phone 908.685.5433
Fax 908.707.3706



David P. LeCroy
Attorney for Applicants
Reg. No. 37,869